# COLLECTIVE BARGAINING AGREEMENT

## BY AND BETWEEN

## **CITY OF STAMFORD**

and

# STAMFORD ASSISTANT CORPORATION COUNSELS UNION LOCAL 1303-191 OF CONNECTICUT COUNCIL #4 AFSCME, AFL-CIO

July 1, 2010 – June 30, 2014

# ARTICLE VI VACATIONS

#### Section 6.0

Employees shall earn vacation at the rate of five (5) days per three (3) months of service to a maximum of twenty (20) days per year until their twentieth (20<sup>th</sup>) year of service, and thereafter, they shall earn one (1) additional day per year for each year of service to a maximum of twenty-five (25) days of vacation. No probationary employee shall be eligible for the use of vacation, until the end of the sixth (6<sup>th</sup>) month of employment.

#### Section 6.1

Vacations shall be granted at the time requested by the employee with the approval of the department head. If the nature of the work makes it necessary to limit the number of employees on vacation at the same time, the employee with the greater seniority within the job classification in the department shall be given his/her choice of vacation period in the event of any conflict over vacation period.

### Section 6.2

If a holiday occurs during the calendar week in which a vacation is taken by an employee, the employee's vacation period shall be extended by one (1) day for each holiday.

#### Section 6.3

Vacations may be taken during the fiscal year, except that in extraordinary circumstances, the employee may, with approval of Corporation Counsel, carry twenty (20) days into the next year. Effective July 1, 1993, the number of days that may be carried over will be increased to thirty (30) days per year.

### ARTICLE VII LEAVE PROVISIONS

#### Section 7.0

a. Each employee will be credited with one and one quarter (1-1/4) days of sick leave per month from the date of hire. Sick leave, if unused, may be accumulated to a maximum of one hundred fifty (150) days. Upon retirement, an employee shall receive payment for one-half (1/2) the total accumulated sick leave on the basis of current wages. In the event of an employee's death his/her estate shall receive the payment equal to 100% of the remaining accrued sick leave, excluding any accrued leave related to a sick bank allocation. This payment shall be made

as soon as practicable after the City receives a certified copy of the employee's death certificate.

- b. An employee may use up to five (5) days per year of accumulated sick leave for time off as a result of illness in the employee's family.
- c. Employees hired after July 1, 2006, will receive fifteen (15) sick days per year, three (3) of which will go into a sick leave bank and once an employee has contributed a maximum of thirty (30) days to the bank, all fifteen (15) days or part thereof shall be credited to the employee for that year.

#### Section 7.1

Employees are entitled to up to five (5) consecutive working days funeral leave with pay from the day of death of a spouse, parent, child, grandparent, grandchild, brother or sister, and three (3) consecutive working days funeral leave with pay from the day of the death of a mother-in-law, father-in-law, brother-in-law or sister-in-law. At the discretion of the department head, where unusual circumstances and equity dictate, one (1) working day maybe granted with pay in the event of the death of any other relative not described in this section in order to attend the funeral of that person.

#### Section 7.2

Employees shall be entitled to full pay at current base rate for absence due to jury duty provided that reimbursement for same and regular pay together does not exceed the employee's regular wage. The employee shall give adequate notice to jury call so that an appeal to be excused from jury duty can be made.

#### Section 7.3

<u>Injury Leave</u>: Injury Leave, as distinguished from sick leave, shall mean paid leave given to an employee due to absence from duty caused by an accident or injury that occurred while the employee was engaged in the performance of his duties. Employees are covered by Worker's Compensation Act, and are paid stated amounts due to injuries sustained on the job. The Employer, in case of injury leave, shall supplement the payment of the statutory payment so that the employee will receive his/her net pay during the absence up to a maximum of sixty (60) days..

### Section 7.4

An employee, who is a member of the reserve corps of any branch of the armed forces of the United States, as defined by section 27-103 of the Connecticut General Statutes, shall be entitled to be absent from his or her duties or services while engaged in required field training in such reserve corps. The period of paid absence in any calendar year shall not exceed fifteen (15) working days. During these fifteen (15) working days, employees shall receive full pay from the City.

#### **AGREEMENT**

By and between the City of Stamford (hereinafter referred to as the City) and Stamford Assistant Corporation Counsels Union Local 1303-191 of the American Federation of State, County and Municipal Employees, AFL-CIO (hereinafter referred to as the Union):

# ARTICLE I RECOGNITION

#### Section 1.0

The City hereby recognizes the Union as the sole and exclusive bargaining agent with respect to wages, hours, and conditions of employment, as certified by the Connecticut State Board of Labor Relations in Decision No. 2173 (1983), of all attorneys employed by the City in the Office of the Corporation Counsel, excluding any attorneys who are supervisors as defined by CGS 7-471 (2) and law clerks.

### ARTICLE II UNION SECURITY

#### Section 2.0

- a. The Employer agrees to deduct from the pay of all employees covered by this Agreement, who authorize such deductions from their wages, such membership dues as may be fixed by the Union. Such deductions shall continue for the duration of this Agreement or any extension thereof. The deduction for any month shall be made during the last payroll period of said month and shall be remitted to the Union, together with a list of employees from whose wages such deductions have been made, not later than the fifteenth (15<sup>th</sup>) day of the following month.
- b. All employees who are covered by the Agreement as of the effective date of this Agreement and all employees hired after the effective date of this Agreement shall, as a condition of continued employment, remain or become and remain members of the Union in good standing or, if they choose to resign as members, pay a service fee to the Union which shall not be greater than the current dues rate uniformly required of Union members.
- c. Any employee who chooses to pay service fees may authorize payroll deductions in the manner set forth in subsection a) above.
- d. The Union agrees to indemnify and hold harmless the City for any loss or damage arising from the operation and execution of this Article.

#### Section 2.1

The Employer shall provide easily accessible space for the posting of official Union notices in the Law Offices.

#### Section 2.2

The Employer shall provide each employee with a copy of this Agreement within sixty (60) days of approval of Agreement by the Board of Representatives. New employees shall receive a copy on or before their first day of work.

#### Section 2.3

The Secretary-Treasurer shall be notified of all newly hired employees an their respective positions as soon as they have been hired.

# ARTICLE III SENIORITY

#### Section 3.0

The Employer shall prepare a list of all employees, showing their seniority in length of service with the Employer, and deliver the same to the secretary of the Union on July first of each year. Upon completion of their probationary period, new employees shall be added to the list. The length of service of the employee with the Employer shall determine the seniority of the employee.

#### Section 3.1

The probationary period will be of sufficient length to enable the appointing authority to observe the employee's ability to perform the duties pertaining to the position. The probationary period shall be three (3) months in the case of an appointment from a promotional list. In the case of a new employee, the maximum probationary period shall be one (1) year.

#### Section 3.2

Layoffs shall be made in order of seniority beginning with the least senior employee. Any employee so laid off shall be placed on the reemployment list for a period of one (1) year and shall be rehired in the event of a vacancy in the reverse order to the layoff.

### ARTICLE IV HOURS OF WORK

#### Section 4.0

The position of Assistant Corporation Counsel shall be treated as an exempt professional position under state and federal law and, therefore, salaried. The normal hours of operation in the Office of Legal Affairs, Law Department, are 8:30 a.m. to 4:30 p.m., Monday through Friday.

### ARTICLE V HOLIDAYS

#### Section 5.0 Effective July 1, 2006

The following shall be paid holidays:

New Year's Day
Martin Luther King Day
Columbus Day

Veteran's Day

President's Day Thanksgiving

Good Friday Day after Thanksgiving

Memorial Day Christmas Eve After 12:00 Noon

Fourth of July Christmas Day

Employees shall have the ability, with the approval of the Corporation Counsel, to work up to three (3) of these designated holiday: MLK Day, President's Day, Good Friday, Columbus Day, and Veteran's Day. In return for working, the individual will be permitted to "float" the holiday.

#### Section 5.1

Holidays falling on a Saturday shall be celebrated on the preceding day. Holidays falling on a Sunday shall be celebrated on the following Monday.

#### Section 5.2

Whenever the Mayor of Stamford or the Board of Representatives declares a day as a holiday, commemorative day, or a day of mourning, it shall be a day off with full pay for the employees covered by this Agreement.

Notwithstanding the foregoing, the parties agree that the terms and conditions of the Memorandum of Understanding between the parties dated April 8, 2003 regarding individuals who are called to active duty in the Unites States armed forces as part of a military mobilization against terrorism shall prevail with respect to any member of the bargaining unit so called to active duty.

#### Section 7.5

A permanent employee, upon proper application in writing to, and upon written approval by the department head, may obtain a continuous leave of absence without pay for a period not to exceed three (3) months. At the expiration of such leave, the employee shall be reinstated in the service without loss of any of his/her rights, unless the position I no longer available due to a budgetary reduction in staff. Failure on the part of an employee to report promptly at the expiration of the leave of absence, except for satisfactory reasons submitted in advance, shall be a cause for dismissal. Leave of absence without pay, however, will not be granted until after all the employee's annual leave has been exhausted and if leave is granted on account of sickness, until all his accumulative sick leave has been exhausted. No annual leave or sick leave may be accumulated during a leave of absence without pay. Extensions of leave for additional three (3) month periods may be granted by the Director of Human Resources, but in no case shall the total period of time exceed two (2) years.

### Section 7.6

Employees entering the military service of the United States shall be entitled to indefinite leave without pay.

#### Section 7.7

No employee shall lose any seniority standing because of any military service, including service in the National Guard or Organized Reservists

#### Section 7.8

Not more than two (2) Union Officials shall be allowed the required time without loss of pay to attend official Union conventions and conferences. The maximum time per year shall be limited to three (3) days.

#### Section 7.9

Effective July 1, 2006, in consideration for consolidating Washington and Lincoln's Birthdays into President's Day, all existing employees will receive an additional personal leave day, bringing the total to four (4) per year.

All employees hired after July 1, 2008 will not receive the additional personal day, but will be granted three (3) personal days as outlined above.

#### Section 7.10

Any employee will be given time off with pay in order to attend a seminar, training session or the like which is in connection with his/her City position when the attendance has been authorized by his/her department head. Should the employee use his/her own automobile for this purpose outside of the City of Stamford, the City will reimburse him/her at the IRS rate per mile, plus toll fees, hotel charges and meals. If the employee travels by other means, he/she shall be reimbursed for the cost of the fare. The Employer may require reasonable documentation prior to reimbursement.

#### Section 7.11

A sick leave bank is hereby established to be for extreme hardship cases. Any employee may contribute two (2) days of sick leave to the bank in any given fiscal year and any day contributed by an employee shall be deducted from his/her accumulation of sick leave.

#### Section 7.12

A committee shall be established consisting of two (2) persons designated by the Union and two (2) persons designated by the City. The committee shall develop procedures for applying and granting of sick leave from the bank.

#### Section 7.13

The committee shall: (1) require a doctor's certificate regarding the sickness; (2) limit to thirty (30) the number of days granted to any employee in any given fiscal year; (3) consider the seriousness, nature and projected duration of the illness or disability involved; and (4) consider the applicant's prior record of sick leave use. The granting of any sick leave days shall be by majority vote of the committee members and such vote shall be final.

# ARTICLE VIII WAGES

#### Section 8.0

All salaries for employees covered by this Agreement are set forth in Exhibit II hereof.

- a. Effective and retroactive to June 30, 2011, the pay rates in effect on July 1, 2010 will be increased by two percent (2%).
- b. Effective and retroactive to July 1, 2011, the pay rates in effect on June 30, 2011 will be increased by zero percent (0%).
- c. Effective and retroactive to July 1, 2012, the pay rates in effect on June 30, 2012 will be increased by two percent (2%). and
- d. Effective and retroactive to July 1, 2013, the pay rates in effect on June 30, 2013 will be increased by two and one-half percent (2.5%).

Employees who are on active pay status on the date of the execution of the Agreement and each subsequent effective date of increase shall be eligible for wage increases and retroactive payments. Those employees on authorized leaves of absences without pay on either the execution date or a subsequent date of increase shall receive increases or retroactive payments within 30 days of the employee's return to active pay status.

#### Section 8.1

Effective July 1, 1985, and each July 1 thereafter, all employees shall advance one (1) step until the highest step in Grade 14 is attained.

#### Section 8.2

The annual salary for part-time Assistant Corporation Counsels shall be two-thirds (2/3) of the full time Assistant Corporation Counsel's salary.

#### Section 8.3

Each employee shall receive longevity pay in accordance with the following:

After 10th Anniversary	\$350
After 15th Anniversary	\$450
After 20th Anniversary	\$550
After 25th Anniversary	\$650

Payment of longevity, as the case may be, shall be made in one (1) payment each year, during the month of December, and such amounts shall not be added to the employee's annual salary. Longevity shall count towards the employee's pension in calculating base salary. Pro rata payments shall be made upon termination.

#### Section 8.4

If the employee is required to use his/her personal vehicle to travel out of Stamford on city business, he/she shall be compensated at the rate per mile as determined by the Internal Revenue Service (commonly referred to as the "IRS Rate"), plus toll fees and parking fees. The City may require reasonable documentation prior to reimbursement.

# ARTICLE IX DISCIPLINARY PROCEDURES

#### Section 9.0

All disciplinary action shall be applied in a fair manner and shall not be inconsistent with the infraction for which the disciplinary action is being applied.

#### Section 9.1

Disciplinary action shall normally include:

- a) oral warning
- b) written warning
- c) suspension without pay
- d) discharge

#### Section 9.2

All suspensions and discharges must be in writing with reason given and a copy given to the employee at the time of suspension or discharge.

# ARTICLE X INSURANCE AND PENSION

#### Section 10.0 - Health Insurance

Effective January 1, 2014 the City agrees to provide medical benefits for each eligible individual employed under the terms of this collective bargaining agreement, along with their enrolled eligible dependents, in accordance with the City's revised health and prescription plan as further described in Exhibit A, attached hereto.

#### Section 10.1 - Dental and Vision

The City will provide a PPO dental plan as follows:

Co-insurance		<u>Deductibles</u>	
Class A Expense	100%	Class A	None
Class B Expense	80%	Class B & C	\$50/\$100
Class C Expense	75%		
Orthodontics	50%	Orthodontics	None

#### <u>Maximums</u>

Annual Max \$1,500/per covered dependent

Orthodontics \$2,000 lifetime/per covered dependent

The City shall provide and pay for an optical plan which shall yearly provide the following benefits for each employee and his/her dependents:

\$ 68.75	for eye exams
\$138.50	for eyeglass frames
\$ 60.50	for single lenses
\$ 99.00	for bifocal lenses
\$137.50	for progressive lenses
\$247.50	for contact lenses (when medically presThe

#### Section 10.2 - Life Insurance

Effective upon the execution of this Agreement, the City will provide each employee with a term life insurance policy in an amount equal to one hundred thousand dollars (\$100,000), at no cost to the employee.

The City will provide and pay for a life insurance policy in the face amount of six thousand dollars (\$6,000) for each employee hired prior to July 1, 2006 and who hereafter retires from the City. Employees hired subsequent to July 1, 2006 are not eligible for the \$6000 life insurance benefit upon retirement.

#### Section 10.3 - Retiree Insurance

#### A. Retiree Benefits

- i. Pre Age Sixty-Five (65): The City will make available a P.O.S. insurance plan, providing for hospital and medical benefits, but not including dental or optical, to employees who retire, with a pension from the City of Stamford Classified Employee's Retirement Fund.
- ii. Post Age Sixty-Five (65): The City will provide supplementary coverage to Medicare, not including dental or optical, as outlined in the Summary Plan Description.
- iii. Employees hired after August 5, 2013 shall <u>not</u> be entitled to supplemental Medicare coverage from the City of Stamford.

#### B. Retiree Costs

i. <u>Cost to age 65</u>: Employees eligible for Retirement on or before June 30, 2014, shall pay 33% of the cost of the pre-age-65-retiree health plan. Employees eligible for Retirement after June 30, 2014, shall pay 50% of the cost of the pre-age 65-retiree health plan.

- ii. <u>ii, Cost Post 65</u>: Employees eligible for Retirement on or before June 30, 2014, shall pay 33% of the cost of the post-age-65 Medicare supplement plan. Employees eligible for Retirement after June 30, 2014, shall pay 50% of the cost of the pos-age-65 Medicare supplement plan.
- iii. Each employee, who retires and when sixty-five (65) years of age or older, shall receive premium reimbursement for coverage paid for under Medicare Part B. The cost of such coverage shall be borne by the City of Stamford Classified Employee's Retirement Fund.

#### Section 10.4 - Pension Benefits

- A. Each employee hired prior to the ratification of this Agreement on August 5, 2013, or is promoted into the Union from another bargaining unit and was a participant in the City of Stamford Classified Employees Retirement Fund ("CEFR") shall be and remain covered by the CERF, as described in Section C-7-30-1 through 11 of the Charter of the City of Stamford, as amended and revised, and as modified by the terms of this and previous collective bargaining agreements between the City and the Union.
- B. Each employee hired after ratification August 5, 2013 shall not become members of the CERF, but shall be eligible to contribute to a 457 deferred compensation plan. The City shall match fifty percent (50%) of the employee's contribution by way of a contribution to a 401(a) money purchase plan. The City's contribution shall not exceed three percent (3%) of the employee's annual wages.
- C. All City employees participating in the CERF plan, regardless of years of service, shall make pension contributions, except those exempt from such contributions as of August 5, 2013. The cost of actuarial and associated administrative expenses for the CERF will be paid for by the Plan.
- D. The provisions of the classified pension fund as provided by Article X of this agreement will be modified to provide for non-work related disability pension eligibility for individuals with ten (10) or more years of continuous service.
- E. An employee will be eligible for a disability pension provided he/she submits evidence satisfactory to the CERF Trustees that he/she has become totally and permanently disabled from performing the job duties and functions outlined in the classification's job description.
- F. The Normal Retirement Date for employees covered by this agreement shall be age sixty (60) with at least ten (10) years continuous service to the City, or age fifty-eight (58) with at least fifteen (15) years continuous service to the City.
- G. The penalty for early retirement under the CERF for employees covered by this agreement shall be reduced from the current fifty-five one hundredths (.55) of one

- (1) percent per month to twenty-five one hundredths (.25) of one percent per month, for the first thirty-six (36) months prior to the normal retirement date. Any time beyond the first thirty-six (36) months, shall be reduced by the current fifty-five one hundredths (.55) of one (1) percent per month.
- H. Effective upon the execution date of this agreement, the CERF plan will be amended to provide that the definition of "base annual salary" will change from the average of the highest two (2) of the last five (5) years base annual salary to be the annualized base rate the employee is earning as of the date of his/her retirement.
- I. Effective upon the execution date of this agreement, employees will be considered fully vested in their benefits after completion of five (5) years of credited service.
- J. Effective upon the issuance of the arbitration award, and provided the requirements under Section 414(h) of the I.R.C. are met, the City will "pick-up" contributions in accordance with a 414(h) I.R.C. plan that will enable employees to have pension contributions deducted on a pre-tax basis. Employees will contribute the following amounts to the pension plan:
  - Effective July 1, 2013 Five percent (5.0%)
- K. Effective July 1, 2001, the City will contribute to the CERF the amount actuarially necessary to fund the plan.
- L. Employees who retire after the ratification of this agreement will be covered by the provisions of the CITY OF STAMFORD CLASSIFIED EMPLOYEES RETIREMENT FUND ADJUSTMENT, attached hereto as Exhibit III.
- M. For an active member who, subsequent to January 1, 1996, has twenty-five (25) or more years of credited service, and thereafter dies from a non-service related cause, will have his/her spouse (if the spouse is the beneficiary) receive a death benefit calculated as if the member was eligible to retire as specified in Section C7-30-6(d) of the City Charter (which includes any applicable early retirement reductions), and as further amended through subsequent collective bargaining agreements. Payments under this provision will commence effective July 1, 1997.
- N. Effective January 1, 2002 through February 28, 2002, an employee may elect, in writing to the Director of Human Resources, to "buyback" pension service credit for any full-time active military service in any of the branches of the United States Armed Forces. The maximum amount an employee is permitted to buyback will be thirty-six (36) months. An employee can only buyback military time in one (1) month increments. An employee electing to buyback time under this provision will have to contribute to CERF a sum equal to five percent (5%) of his/her current monthly salary for each month of buyback. The employee must pay into the CERF, the full amount by June 30, 2003. The military service buyback will

- count towards vesting. Prior military service pension credit purchased, under other City bargaining unit agreements, or through prior agreements with this union, is excluded from this buyback option.
- O. The parties agree that the pension trust document currently in the process of being drafted, will accurately reflect the pension benefits of the Classified Employees Retirement Fund, with respect to the employees covered by this agreement. It is not the intention of the parties to change, alter or amend the pension benefits of the CERF plan as set forth in appropriate documents and described in the Charter of the City of Stamford, as amended and revised, other appropriate documents, and collective bargaining agreements between the City and the Union.
- P. One-time Buy-Back: Employees employed as of the date of ratification shall be allowed to pay, on or before June 30, 2014, three percent (3%) of their 2011-2012 base salary for one year of service credit for the purposes of retirement eligibility and benefit calculation. The additional two percent (2%) of salary attributable to that one year of service credit shall first be included in the monthly pension following the employee's 65<sup>th</sup> birthday. Notwithstanding the foregoing, under no circumstances shall the employee receive credit for more that thirty-three (33) years of service.
- Q. Nothing in the Collective Bargaining Agreement shall limit the Superior Court of the State of Connecticut's authority to revoke or reduce an employee's or former employee's pension pursuant to C.G.S. § 1-110, et. al.
- R. The City shall increase the attorney's billing rate for the Water Pollution Control Authority and Board of Education by twenty-five (\$25) per hour effective July 1, 2013. The twenty-five dollars (\$25) per hour increase shall accumulate for each fiscal year. At the conclusion of each fiscal year, the City shall place an equal amount of the accumulated sum billed for each bargaining unit attorney into a section 401a account. The amount placed or to be placed in the 401a account for the one year period prior to his/her retirement shall be added to the employee's base salary for purposes of calculation of his/her pension benefit. This enhanced pension base portion of this provision is only applicable for members of CERF fund.

#### Section 10.5 - Employee Assistance Program

The City shall establish and maintain an Employee Assistance Program (EAP). The provisions of the current program regarding confidentiality shall be maintained.

#### Section 10.6 - Waiver of Medical, Dental and Vision Benefits

An employee who is eligible for health benefits provided by the City and where such benefits are extended to his/her spouse and/or child(ren), the employee may voluntarily elect, subject to Section 125 of the Internal Revenue Code, to waive all

medical/dental/vision benefits, and in lieu thereof, be remunerated an annual amount of two thousand dollars (\$2,000), provided the employee has notified the Benefit Manager's Office during the enrollment period. In order to be eligible for this annual payment, the employee must provide evidence of similar coverage under another group health benefit program. If an eligible employee has waived his/her insurance benefits the previous year, and does not notify the Benefit Manager's Office of his/her selection for the coming fiscal year, the waiver will remain in effect. Payment for the waiver will be made in two (2) equal installments, six (6) months apart (January and July).

An eligible employee choosing this option shall be able to rescind such option during the annual open enrollment window period, or as a result of a change in "family status". A change in "family status" results from the eligible employee's marriage, divorce, birth or adoption of a child, death of a spouse or child, or the loss of other health benefit coverage. An employee wishing to change this waiver option must give the Benefit Manager's Office at least fifteen (15) days advance written notice. If such option is rescinded, all prior rescinded coverage will become effective at the beginning of the month following the written notice to reinstate such coverage. An eligible employee, who reinstates health benefits during the medical plan year must reimburse the City the money received for waiving such insurance coverage. In lieu of a lump sum re-payment, an employee may elect to reimburse the City in weekly installments through payroll deduction, over a six (6) month period.

### Section 10.7 - Employee Contributions

- A. Effective July 1, 2008, employees shall be required to contribute, pursuant to Internal Revenue Code Sec. 125, on a pre-tax payroll deduction basis, twelve percent (12%) of the premium equivalent rate for their single, two-person (two [2] times single coverage), and family coverage (two and one-half [2½] times single coverage), respectively for the medical, dental, vision and prescription drug benefits. Deductions shall be made in equal amounts from each payroll check. It is presumed that as a member of the bargaining unit, individual payroll deduction authorizations are not required due to their representation by the union and the mandatory requirement of the employee to be eligible for medical, dental and vision benefits.
- B. Effective July 1, 2013, employees shall contribute, pursuant to Internal Revenue Code Section 125, on a pre-tax payroll deduction basis fifteen percent (15%) of the premium equivalent rate for the medical, dental, vision and prescription drug benefits as described above which are in effect on July 1, 2013. Deductions shall be made in equal amounts from each payroll check. It is presumed that as a member of the bargaining unit, individual payroll deduction authorizations are not required due to their representation by the Union and the mandatory requirement of the employee to be eligible for medical, dental and vision benefits. The Union agrees that the third party administrator consistent with its methodology for

calculating such rates shall establish the premium rate equivalent. The Union shall be notified in advance of any changes in premium rate.

### Section 10.8 - Administration of Benefits

The City will provide the medical, dental, vision and/or prescription drug benefits as set forth in this agreement through a properly licensed insurance company in the state of Connecticut, or through an alternative self-insured arrangement. If benefits are selfinsured by the City, employees shall have all claims adjudicated in conformance with applicable confidentiality standards, along with the same internal rights of appeal extended by the service provider as if the benefits were insured. In no event shall, the coverages and benefits provided through an alternative insurance carrier, managed care vendor, either self-insured or self-administered will be less than the benefits and coverages as set forth in Exhibit I. The size and scope of a preferred provider network of physicians, hospitals, dentists, optometrists, etc. shall not be a factor in determining the duplication of benefits by an insurance carrier or managed care vendor. It is agreed that an alternative insurance carrier or managed care vendor can be selected by the City provided that the new insurance carrier or managed care vendor network includes seventy (70%) percent of the hospitals and physicians in Fairfield County of the original preferred provider network of hospitals and physicians. The City retains the sole and exclusive right to select and/or change insurance carriers or managed care vendors. The City shall review any proposed changes with the Union prior to implementation, and if there is a disagreement on the level of benefits, coverages or services provided with the proposed insurance carrier and/or managed care provider, the Union may submit the issue to binding arbitration.

See Attachment A for the cost of prescription drugs.

#### Section 10.9 - Flexible Spending Accounts

The City shall make available under IRS Section 125, a pre-tax Medical Reimbursement Account, Dependent Care Reimbursement Account (up to a maximum of \$5,000 per year, or as allowed by the Internal Revenue Code) and pre-tax employee health insurance premiums to the extent allowed by law.

# ARTICLE XI WORKING RULES, UNION ACTIVITY

#### Section 11.0

In the event that a dispute arises between the parties that is not specifically covered in this Agreement, both parties may agree to submit the dispute to arbitration in the manner set forth in Section 12.2 - Step 3 of this Agreement.

#### Section 11.1

Time off with pay shall be granted to one (1) employee for purposes of negotiations with the Employer.

#### Section 11.2

If any section, sentence, clause or phrase of this Agreement shall be held for any reason to be inoperative, void or invalid, the validity of the remaining portions of this Agreement shall not be affected thereby, it being the intention of the parties in adopting this Agreement that no portion thereof, or provision herein shall become inoperative or fail by reason of invalidity of any other portion or provision and the parties do hereby declare that it would be severally approved of and adopted the provisions contained herein, separately and apart from the other.

#### Section 11.3

The Department's current policies concerning educational reimbursement shall continue.

#### Section 11.4

The City shall reimburse each full time employee for any Connecticut Occupational taxes paid by the employee that are related to the practice of law.

#### Section 11.5

The City shall reimburse full time employees for membership dues for the Connecticut Bar Association, the Stamford/Darien Bar Association, and the Association of Municipal Attorneys.

#### Section 11.6

The City shall continue to provide the voluntary tax deferred annuity program for employees which is currently in effect. Deferred income shall be deducted from the salaries of the employee participants.

#### Section 11.7

The City will abide by the Statutes of the State of Connecticut regarding its obligation to defend and save harmless its employees.

#### Section 11.8

The City recognizes and acknowledges that all Assistant Corporation Counsel positions, and any other position in the bargaining unit however and whenever created, are in the classified civil service of the City of Stamford.

# ARTICLE XII GRIEVANCE PROCEDURE

#### Section 12.0

In the event that any employee shall be disciplined, suspended, discharged or reduced in grade and the employee feels that such action was taken without just cause; or, in the event a dispute shall arise concerning the interpretation of performance of this contract, an employee or his Union Representative may attempt to adjust the matter with the Director of Legal Affairs within ten (10) working days after the action was taken or the dispute arose. Within five (5) working days after the Director of Legal Affairs receives such grievance, he shall arrange to meet with the affected employee or his Union Representative.

#### Section 12.1

If within ten (10) working days after such meeting, the matter has not been resolved, the Union Representative may present the grievance, in writing to the Director of Human Resources or his designated representative, who shall arrange a meeting within ten (10) working days of receipt of the grievance.

#### Section 12.2

If within ten (10) working days after such meeting, the matter has not been resolved, the Union Representative may petition the State Board of Mediation and Arbitration for arbitration. The Board shall hear and act upon the matter in accordance with its rules and regulations and the decision of the Board shall be final and binding on all parties. The arbitrators may not add to or subtract from the contract, but their decisions shall be based solely on the terms of the contract.

#### Section 12.3

The procedures contained in this Article XII shall be the sole and exclusive procedures for settling disputes or appealing from disciplinary action, suspensions, discharges, or demotions. Nothing herein shall diminish the statutory right of the Union or individual employees.

#### Section 12.4

The time limits described in this section may be waived by agreement of the parties to this Agreement.

#### Section 12.5

The grievant shall be granted time off with full pay for grievance hearings at Step 1 of the grievance procedure. The grievant shall be granted time off with full pay for grievance hearings at all subsequent steps if the grievance is not settled at Step 1.

#### Section 13.0

Family and medical leave requests shall be governed in accordance with the federal Family and Medical Leave Act. The City is not responsible for providing health insurance benefits beyond that required by law. Employees requesting leave, under the FMLA, for medical purposes (their own or a family member designated under the FMLA), or for the birth/adoption of a child, must use all accrued paid leave time (vacation, sick and personal) prior to receiving leave without pay. However, an employee must substitute paid sick, vacation and personal leave only for FMLA qualified medical leave request for themselves. An employee must substitute paid vacation and personal leave for medical leave. Other than their own, or family leave requests. Such paid leave time shall be counted towards the twelve (12) weeks allowed under the FMLA.

#### Section 13.1

The Director of Legal Affairs may assign bargaining unit work to parties and/or attorneys outside of the bargaining unit, provided that such assignment of work outside of the unit shall not be the basis for reducing the size of the unit. Further, the Director of Legal Affairs shall assign work in accordance with the Rules of Professional Conduct and the Charter and Code of Ordinances of the City of Stamford.

# ARTICLE XIV DURATION

This Agreement shall be in full force and effect as of the date of execution or the issuance of the award of the arbitrators, as the case may be, and shall remain in effect until the thirtieth day of June, 2014, or any extension thereof. Negotiations for a successor agreement shall begin upon demand by either party on or after the first day of January immediately preceding the expiration date of this Agreement.

IN WITNESS WHEREOF, the parties set their hands on this 2nd day of October 2013.

FOR THE CITY OF STAMFORD

Mayor Michael Pavia

Emmet Hibson

LOCAL 1303-191 OF CONNECTICUT COUNCIL #4, AFSCME, AFL-CIO

Burt Rosenberg

President

Thomas Fascio

Representative AFCSME Council 4

## EXHIBIT I

## SUMMARY OF BENEFITS

CIGNA - OAP

City of Stamford - July 1, 2012

	New	New
BENEFIT INFORMATION	IN-NETWORK	OUT-OF-NETWORK
Calendar Year Plan Deductible Individual	\$200	\$1,000 per person.
Family	\$400	\$2,000 per family
Calendar Year Out-of-Pocket Maximum Individual Family	Including Plan Deductible \$1,000 \$2,000	Including Flan Deductible \$3,000 \$6,000
Coverance	CIGNA HealthCare pays 80% of eligible charges. You pay 20% of charges after plan deducable of first \$4,000 when copayments do not apply	CIGNA HealthCare pays 60% of eligible charges. You pay 40% of charges after plan deductible.
Lifetime Maximum	Unlimited	Unlimited
Physician Services Primary Care Physician (PCP) Office Visit	\$20 co-payment per office visit deductible / consumers e waived	Subject to deductable and 40% consumer
Specially Physician Office Visit Consistant and Referral Physician Services	\$20 cops yearst per office visit deductible / coinswance waived	Subject to deductible and 40% consumence
Allergy Treatment/Sysctions - PCP or Specialty Physician		Subject to deductible and 40% consumers
Preventive Care Routine Preventive Care for Caldren through age 6 (including routine immunications)	Paxi m full	Subject to deductible and 40% coinsurance
Routine Preventive Care for Children and Adults from age 7 (micluding routine immensiations) Unlimited maximum per calendar year	Paid in full	Subject to deductible and 40% oninsurance

July 5, 2012

	New	New
BENEFIT INFORMATION	IN-NETWORK	OUT-DF- NETWORK
Preventive Mammograms, PSA, Pap Test	Pend to full	
Dagnostic Mammograms, PSA, Pap Test	Subject to deductable and 20% consumers	Subject to deductable and 40% consumer
Inpatient Harpital Services including: Sant Private Room and Board Diagnostic/Therapeutic Lab and A-rap Drugs and Mid-eaton Quarting and Recovery Room Radiation Thirapy and Chemotherapy Anishisia and Inhalation Thirapy MRIs, MRAs, CAT Sans, PET Scans, stc	Subject to deductible and 20% coinsurance	Subject to deductable and 40% cu naurance Precentification required
Outpatient Surgery Facility Services includes Operating Room, Recovery Room, Procedure Room and Treatment Room and Observation Room including Diagnostic Therapeatric Lab and X-rays Amenthesia and Inhalation Therapy	Subject to deductable and 20% consumance	Subject to deductible and 40% consumers
Laboratory and Radiology Services	Subject to deducable and 20% consumers	Subject to deductible and 40% consumes
Advanced Ratiological Imaging (MRLs, MRAs, CAT 2: ans, PET Scars, etc.)	Subject to deducable and 20% coinsurance	Subject to deductable and 40% consummer
Short-Term Rehabitative Therapy and Charopractic Services—the ladder play and, speech, occupational, chir opractic, pulmonary rehab de cognitive therapy) 30 therapy seesions meximum per calendar year for all the repies combined	\$20 cops general, disdoctable and consumence overved	Subject to deductable and 40% consumence
Outpatient Cordiac Rehabilitation — up to 36 days maximum per calendar year	\$20 cops yearest, disducibles and consumers wanted	Subject to deductable and 40% consurance

July 5, 2012

BENEFIT HIGHLIGHTS	New IN-NETWORK	New OUT-OF-NETWORK
Emergency and Urgent Care Services Physician's Office - P OP or Specially Physician	\$20 copuşme at per office visit, deductible and enineurance waived	
Hospital Emergency Room – copayment waived if admitted	\$1.50 copayment privint, deductible and coinsurance waived \$75 copayment per visit, deductible and coinsurance waived	Care well be provided at m- network levels if it meets the
Urgent Care Faculty or Outpatient Faculty	Subject to deductible and 20% coinsurance	"prudent layper son" definition of an emergency Otherwise 40% of charges
Ambulance		
Maternity Care Services initial Office Visit to Confirm Pregnancy  All subsequent Frenatal Visits, Postnatal Visits and Physician's Delivery Charges (total maternity fee)  Office Visits not included in the total maternity fee performed by OB or Specialty Physician  Delivery - Faculty (Inpatient Hospital/Birthing Center Charges)	\$20 copayment, deductible endocinsurance waived Paid in full \$20 copayment per office visit, deductible and coinsurance waived Subject to deductible and 20% coinsurance	Subject to deductible and 40% coinsurance  Subject to deductible and 40% coinsurance  Subject to deductible and 40% coinsurance
Impainent Services at Other Health Care Facilities Stalled Nursing, Rehabilitation Hospital and Sub-Acute Facilities 180 days maximum per calendar year combined for all facilities listed	Subject to deductible and 20% coinsumnes	Subject to de ductible and 40% coinsurance
Home Health Services — Includes outpatient private dray nursing when approved as medically necessary  200 days maximum per calendar year,  16 hour maximum per day	Subject to deductible and 20% corresponde	40%, deductible werved.

July 5, 2012

BENEFIT HIGHLIGHTS	New	New
BENEFIT HIGHLIGHIS	IN-NETWORK	OUT-OF-NETWORK
Mental Health Inpatent — Unlimited maximum per calendar yeer	Subject to deductible and 20% coinsurance	Subject to deductible and 40% coinsumnce , precentification is quized
Outpatient Mental Health (includes Individual, Group Therapy and Internsive Outpatient services) — Unlimited maximum per calendar year	\$20 copa yment, deductible and constituence waived	Subject to deductible and 40% consumance
Substance Abuse Impatient — Unlimited maximum per calendar year	Subject to deductible and \$30% coinsurance	Subject to deductible and 40% consumers , precedulation required
Outpatient Substance Abuse (includes Indevidual and Intensive Outpatient services) – Unlimited maximum per calendar year	\$20 copsyment, deductible and coinsurance waived	Subject to deductible and 40% consumance
Durable Medical Equipment Unlimited maximum per calendar year	Subject to deductible and 20% coinsurance	50% of charges
External Prosthetic Appliances fincludes Ottomy related services) Unlimited maximum per calendar 30 ar	Subject to deductible and 2074 coinsurance	50% of charges
Acupuncture/Acupresswe	\$20 cops senent, deductible and consumers waived	Subject to deductible and 40% consumance

July 5, 2012

BENEFIT HIGHLIGHTS	New IN-NETWORK	New OUT-OF-NETWORK
Prescription Drug	Retail Pharmacy \$10 copay generic brand name \$15 copay preferred brand name \$25 copay non-preferred brand name (30-day supply)	
	Mail Order \$20 copay generic \$30 copay preferred branch name \$50 copay pron; preferred branch name (90-day supply)	

# EXHIBIT II ASSISTANT CORPORATION COUNSEL SALARY SCHEDULE

L-14 Full-Time

Step	7/1/2010 Hourly Rate	06/29/2011 Annual Rate
А	\$53.54	\$97,443.15
В	\$56.18	\$102,247.07
С	\$58.82	\$107,049.96
D	\$61.46	\$111,850.79
E	\$64.10	\$116,654.71
F	\$66.73	\$121,456.57
G	\$69.37	\$126,255.34
H	\$72.15	\$131,307.49

L-14 Full-Time

Cton	06/30/2011	06/30/2011
Step	Hourly Rate	Annual Rate
	1	
Α	\$54.6110	\$99,392.01
В	\$57.3033	\$104,292.01
С	\$59.9950	\$109,190.96
D_	\$62.6856	\$114,087.81
Е	\$65.3779	\$118,987.80
F	\$68.0691	\$123,885.70
G	\$70.7585	\$128,780.45

1.1	#70 F000	#400 000 C4
1 H	\$73.5899	\$133.933.64

L-14 Full-Time

	07/01/2012	07/01/2012
Step	Hourly Rate	Annual Rate
Α	\$55.7032	\$101379.85
В	\$58.4493	\$106377.85
С	\$61.1949	\$111374.77
D	\$63.9393	\$116369.56
E	\$66.6854	\$121367.56
F	\$69.4304	\$126363.41
G	\$72.1736	\$131356.05
Н	\$75,0167	\$136,612.31

L-14 Full-Time

	07/01/2013	07/01/2013
Step	Hourly Rate	Annual Rate
Α	\$57.0958	\$103,914.35
В	\$59.9106	\$109,037.30
С	\$62.7248	\$114,159.15
D	\$65.5378	\$119,278.80
Ε	\$68.3526	\$124,401.75
F	\$71.1662	\$129,522.50
G	\$73.9780	\$134,639.96
Н	\$76.9383	\$140,027.62

### **EXHIBIT III**

### CERF RETIREMENT FUND ADJUSTMENT

This Agreement, CITY OF STAMFORD CLASSIFIED EMPLOYEES RETIREMENT FUND ADJUSTMENT, dated , 2001, is entered into in accordance with the provisions of Article , Section , of the July 1, 1997 - June 30, 2002 Collective

Bargaining Agreement between the CITY OF STAMFORD and LOCAL #1303-191 OF COUNCIL#4, AFSCME, AFL-CIO.

Effective January 1, 2000, all active members who thereafter retire (Member) shall be eligible to receive the following benefits:

- 1. An adjustment, based upon the plan's "Average Annual Return" as defined herein, in pension benefits payable to each Member, commencing January 1, 2003, retroactive to July 1, 2002, who has attained age 62 and has received or has been credited with at least twelve monthly pension payments prior to July 1, 2002.
- 2. Thereafter on January 1 of each third year (effective date), retroactive to the prior July 1, the pension benefit payable to each member who has attained age 62 and has received or has been credited with at least twelve monthly pension payments prior to said July 1 of each third year (Eligible Member) shall be adjusted.
- 3. The adjustment shall be based upon the average annual investment return (Average Annual Return) earned by the City of Stamford Classified Employees Retirement Fund for the 36 month period ending on July 1, six months prior to the Effective Date of the adjustment. The Average Annual Return shall be determined by the Plan's Trustee using the dollar weighted rate-of-return methodology. The Average Annual Return shall be determined as the geometric average of the annual return of each of the three years.
- 4. An adjustment account will be established as of July 1, 1999 with a zero balance. Beginning July 1, 2002, and on July 1 of each third year thereafter, the adjustment account balance will be re-determined as follows:
  - a. The adjustment account balance will equal the adjustment account balance as of the July 1 three years prior plus Item b(1)(c) less Item b(ii)(c) plus Item C minus Item d.
  - **b.** The adjustment account will be credited or debited for investment performance, as follows:
    - 1. If the average Annual Return is greater than 10%, the adjustment account will be credited as follows:
      - i. Subtract 10% from the Average Annual Return.
      - ii. Multiply the result in item (i) by 50%.
      - iii. Multiply the result in item (ii) by the pension benefit obligation for each Member receiving or who is credited with monthly pension payments as of the July 1 six months prior to the Effective Date.
    - 2. If the Average Annual Return is less than 6%, the adjustment account will be debited as follows:

- i. Subtract the Average Annual Return from 6%.
- ii. Multiply the result of item (i) by 50%.
- iii. Multiply the result in item (ii) by the pension benefit obligation for each Member receiving or who is credited with monthly pension payments as of the July 1 six months prior to the Effective Date.
- 3. If the Average Annual Return is greater than or equal to 6% and less than or equal to 10% no credit or debit will be made to the adjustment account.
- c. The adjustment account will be credited with the Average Annual return for each of the prior three years.
- **d.** The adjustment account will be debited for adjusted pension payments (including interest at the Average Annual Return Rate) paid during the prior three years.
- 5. The percentage increase equals Item (a) less Item (b) divided by Item (c).
  - a. The adjustment account balance as of the July six months prior to the Effective Date of the adjustment.
  - **b.** The pension benefit obligation as of such July 1 for prior year adjustments of all Eligible Members.
- 6. The pension adjustment will be the percentage increase multiplied by the amount currently being paid to Eligible Members subject to the following limitations:
  - a. If the percentage increase is greater than 4%, the percentage increase will be limited to 4%. Any excess adjustment account credit from Item 4(b)(1)(iii) will remain in the adjustment account.
  - **b.** If the percentage increase is negative, no adjustment will be made. Any excess adjustment account debit from Item 4(b)(l)(iii) will remain in the adjustment account.
- 7. The pension benefit obligation will be determined by the plan's actuary in accordance with actuarial assumptions with respect to interest and mortality as used in the most recent actuarial valuation report. Pension benefit obligation will have the meaning as such time is defined in Government Accounting Standards Board Statement No. 5.
- 8. The adjustments referred to above shall be fully applicable to the eligible survivors of deceased Members as of the Effective Date such Member became or would have become an Eligible Member.

- C. A copy of this written agreement will be filed with the Plan Trustees (Amendment and Declaration of Trust dated June 16, 1971). The Trustees shall formulate, adopt and promulgate in good faith investment plans, programs and decisions, in accordance with the Declaration of Trust provisions which in their opinion are desirable to facilitate the administration of the Plan consistent with the intent and provisions of this agreement.
- **D.** This agreement, CITY OF STAMFORD CLASSIFIED EMPLOYEES RETIREMENT FUND ADJUSTMENT, dated , shall be incorporated into the parties' Collective Bargaining Agreement, as amended.

Included in Appendix D is an example illustrating calculations for earned Classified Employees pension adjustments.

E. Notwithstanding anything contained herein to the contrary, it is specifically agreed by the parties that nothing contained herein shall prevent any increase to current retiree benefits based upon any voluntary negotiations and agreement of the parties or by operation of law.

#### First Adjustment

Plan Assets: \$70,000

Pension Benefit

Obligation: 70,000.00 = 63,000,000 active divided by

pre-99 retirees

7,000,000 retired on or after 1/1/99

1,750,000 over age 62 & retired 12 mos.

Average annual return 12%

Adjustment account credit  $1\% \times 7,000,000 = 70,000$ Adjustment account 0 divided by 70,000 = 70,000Pension adjustment 70,000/1,750,000 = 4%

Second Adjustment

Plan assets: \$78,000,000

PBO: 75,000,000 = 67,000,000 active divided by

pre-99 retirees

8,000,000 retired on or after 1/1/99 2,000,000 over age 62 & retired 12 mos.

Average annual return 14%

Adjustment account credit  $2\% \times 8,000,000 = 160,000$ Adjustment account 70,000 - 7,000 divided by 9,300

divided by 160,000 = 232,300 (prior year balance - benefits paid

divided by investment return divided by credit)

PBC for prior adjustments 68,300

Pension adjustment (232,300 - 68,300)/2,000,000 = 8.2%

4% cap applicable

Third Adjustment

Plan assets \$78,000,000

PBC 80,000,000 = 70,000,000 active divided

by pre-99 retirees

10,000,000 retired on or after 1/1/99 2,500,000 over 65 retired 12 mos.

Average annual return 4%

Adjustment account  $-1\% \times 10,000,000 = 100,000$ Adjustment account 232,300 - 14,800 divided by

9,000 - 100,000 = 126,000

PBO for prior adjustments 144,600

Pension Adjustments (126,500 - 144,600)/2,500,00 = less than 0%

0% applicable